

# On Adjacency and e-Adjacency in General Hypergraphs: Towards a New e-Adjacency Tensor

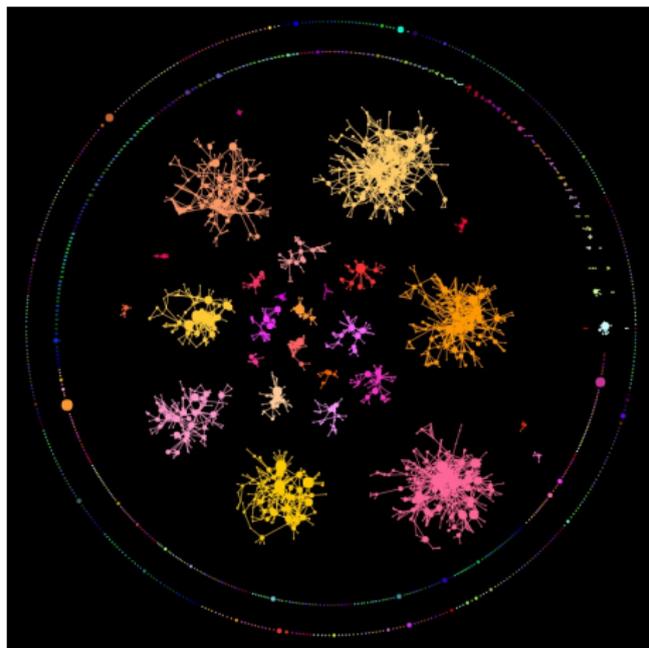
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# Background

## Ideas behind

- Ranking of vertices in graphs  
=> **random walks**
- RW for hypergraphs exist
- Diffusion = local process  
=> knowledge of the neighbourhood.
- Study of diffusion process => **Laplacian**
- Incidence and adjacency matrices keep only pairwise information
- Pairwise adjacency is too restrictive for hypergraphs
- **Higher order adjacency requires tensor**
- Laplacian tensor is linked to the adjacency tensor
- **Adjacency tensor for uniform hypergraph is known Cooper and Dutle [2012]**

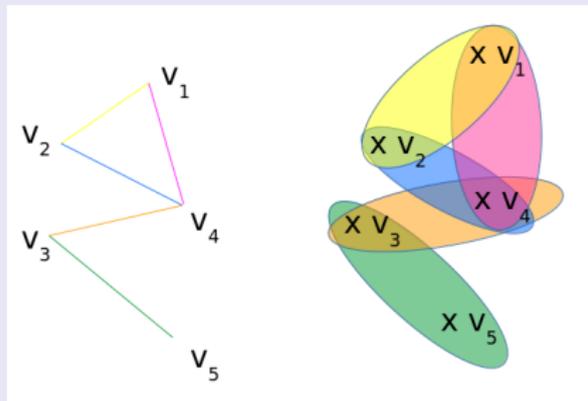


## Key points

- Rigorous **definition of adjacency in hypergraphs**
- A proposal for an e-adjacency tensor **interpretable in term of hypergraph uniformisation**
- Two processes are designed:
  - **a hypergraph uniformisation process** (HUP)
  - **a polynomial homogenisation process** (PUP)

# Hypergraphs

## From graphs to hypergraphs



- **Hypergraphs**  $\equiv$  generalisation of graphs to multiple vertex links
- Hypergraphs introduced by Berge and Minieka [1973].

## Definition

Bretto [2013]:

A **hypergraph**  $\mathcal{H}$  on a finite set  $V = \{v_1; v_2; \dots; v_n\}$  is a family of hyperedges  $E = \{e_1, e_2, \dots, e_p\}$  where each **hyperedge** is a non-empty subset of  $V$ .

## Two visions

- **set of elements** of power set of vertices  
 $\rightsquigarrow$  set view
- **extension of graphs**  $\rightsquigarrow$   $n$ -adic relationship view

## $k$ -uniform hypergraph

All its hyperedges have same cardinality  $k$ .

## In graphs

- Two vertices are said **adjacent** if it exists an edge linking them  
=> **pairwise relationship**
- Vertices incident to one given edge are said **e-adjacent**.  
=> also **pairwise relationship**
- **e-adjacency and adjacency are equivalent in graphs**

## Extending to hypergraphs

- $k$  vertices are said  **$k$ -adjacent** if it exists a hyperedge that hold them  
=> **multi-adic relationship**
- Vertices of a given hyperedge are said to be **e-adjacent**.  
=> **multi-adic relationship**
- **$\bar{k}$ -adjacency**: maximal  $k$ -adjacency that can be found in a given hypergraph
- In  **$k$ -uniform** hypergraph: **equivalence**  $\bar{k}$ -adjacency and e-adjacency.
- In **general** hypergraphs: the equivalence **doesn't hold** anymore!

## Cooper and Dutle ( $k$ -)adjacency tensor

- ([Author's note]: **degree normalized  $k$ -adjacency tensor**: Cooper and Dutle [2012]

$\mathcal{A} = (a_{i_1 \dots i_k})_{1 \leq i_1, \dots, i_k \leq n}$  such that:

$$a_{i_1 \dots i_k} = \frac{1}{(k-1)!} \begin{cases} 1 & \text{if } \{v_{i_1}, \dots, v_{i_k}\} \in E \\ 0 & \text{otherwise.} \end{cases}$$

- Allows to retrieve degree of vertices:

$$\deg(v_i) = \sum_{i_2, \dots, i_k=1}^n a_{ii_2 \dots i_k}.$$

- Allows to have hypergraph spectral theory Qi and Luo [2017]

# Tensor for general hypergraphs: the art of filling



What about this?



=> **We need to store additional information**

# Existing e-adjacency tensor for general hypergraph

## Banerjee e-adjacency tensor

Let  $\mathcal{H} = (V, E)$  with  $V = \{v_1, v_2, \dots, v_n\}$  and family  $E = \{e_1, e_2, \dots, e_p\}$ .

Let  $k_{\max} = \max \{|e_i| : e_i \in E\}$  be the maximum cardinality of the family of hyperedges.

The ([Author's note]: **e- adjacency hypermatrix** of  $\mathcal{H}$  written

$\mathcal{A}_{\mathcal{H}} = (a_{i_1 \dots i_{k_{\max}}})_{1 \leq i_1, \dots, i_{k_{\max}} \leq n}$  is such that for a hyperedge:  $e = \{v_{l_1}, \dots, v_{l_s}\}$  of cardinality  $s \leq k_{\max}$ .

$$a_{p_1 \dots p_{k_{\max}}} = \frac{s}{\alpha}, \text{ where } \alpha = \sum_{\substack{k_1, \dots, k_s \geq 1 \\ \sum k_i = k_{\max}}} \frac{k_{\max}!}{k_1! \dots k_s!}$$

with  $p_1, \dots, p_{k_{\max}}$  chosen in all possible way from  $\{l_1, \dots, l_s\}$  with at least once from each element of  $\{l_1, \dots, l_s\}$ .

# Why an other proposal?

## Motivations

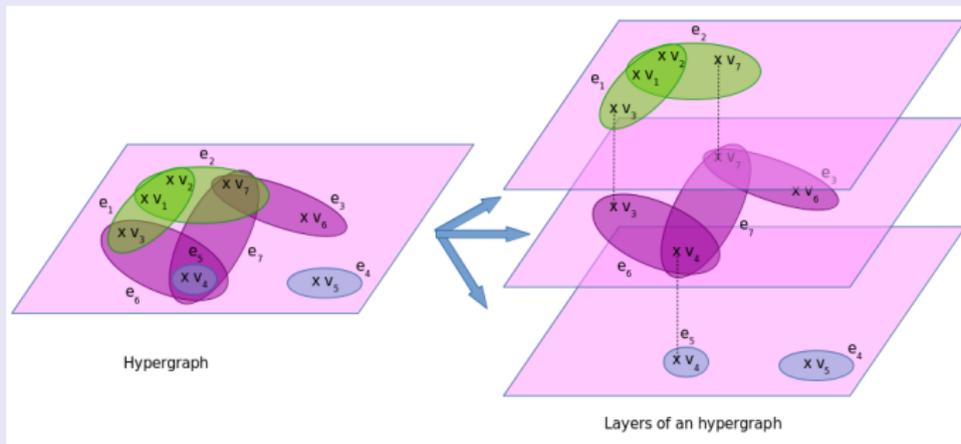
- The  $e$ -adjacency tensor should be easily interpretable:
  - in term of  $e$ - and  $k$ -adjacency
  - in term of the way it is built
- Information on  $k$ -adjacency should be easy to gather
- Can we really fill a tensor without transforming its spectra?

## Requirements

The tensor should be:

- invariant to vertex permutations either globally or at least locally.
- allow the retrieval of the hypergraph in its original form.
- the sparsest possible in between two possible choices.
- allow the retrieval of the degrees of the nodes
- store the information of  $e$ -adjacency and  $k$ -adjacency

## Decomposition of the hypergraph in layers

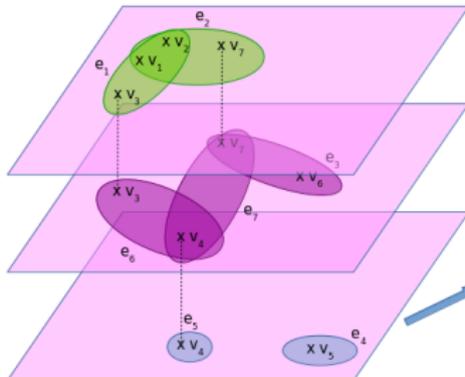


- $$\mathcal{H} = \bigoplus_{k=1}^{k_{\max}} \mathcal{H}_k, \mathcal{H} \text{ is with no repeated hyperedge}$$

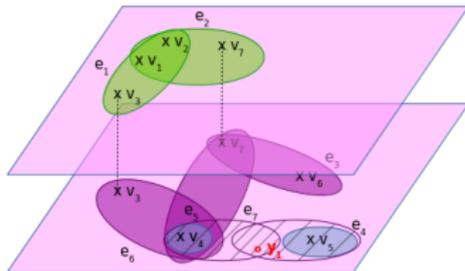
- Family of Cooper and Dittle  $k$ -adjacency tensors ( $\mathcal{A}_k$ ):  $\mathcal{H}_k \rightsquigarrow \mathcal{A}_k$

# Filling and merging

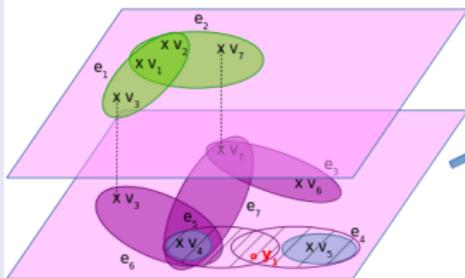
## Iterative process on layers



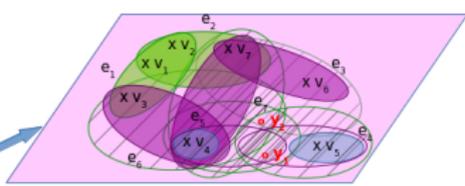
Layers of an hypergraph



Layer 1 is merged into layer 2



Merged layer 1 and 2



Merged layer 1 and 2 into layer 3

# Hypergraph uniformisation process

## Two elementary operations

### ■ ***y*-vertex-augmentation operation:**

- add a vertex to each hyperedge of a given hypergraph
- ***y*-vertex-augmented hypergraph**  $\overline{\mathcal{H}_w} = (\overline{V}, \overline{E}, \overline{w})$  of  $\mathcal{H}_w$

### ■ **merging operation:**

- merges two weighted hypergraphs  $\mathcal{H}_a = (V_a, E_a, w_a)$  and  $\mathcal{H}_b = (V_b, E_b, w_b)$
- obtained: **merged hypergraph**  $\widehat{\mathcal{H}_w} = (\widehat{V}, \widehat{E}, \widehat{w})$

## The hypergraph uniformisation process

- Transform each  $\mathcal{H}_k$  into a weighted hypergraph  $\mathcal{H}_{w_k, k} = (V, E_k, w_k)$   $w_k(e) = c_k$   
=> **dilatation coefficient**: keep the generalized hand-shake lemma
- Iterates over a two-phase step:
  - **the inflation phase**
  - **the merging phase**

## Symmetric hypermatrices and homogeneous polynomials

- Symmetric cubical hypermatrices are bijectively mapped to homogeneous polynomials Comon et al. [2015]

- Use the hypermatrix multilinear matrix multiplication Lim [2013].

- $\mathcal{H}_k \Rightarrow \mathbf{A}_k = (a_{(k) i_1 \dots i_k})$

- $(z)_{[k]} = (z, \dots, z) \in (\mathbb{R}^n)^k$ ,  $(z)_{[k]} \cdot \mathbf{A}_k$  contains only one element:

$$P_k(z_0) = \sum_{1 \leq i_1, \dots, i_k \leq n} a_{(k) i_1 \dots i_k} z^{i_1} \dots z^{i_k}.$$

- As  $\mathbf{A}_k$  is symmetric:  $P_k(z_0) = \sum_{1 \leq i_1 \leq \dots \leq i_k \leq n} \alpha_{(k) i_1 \dots i_k} z^{i_1} \dots z^{i_k}$  with

$$\alpha_{(k) i_1 \dots i_k} = k! a_{(k) i_1 \dots i_k}.$$

# Polynomial uniformisation process I

## Principle

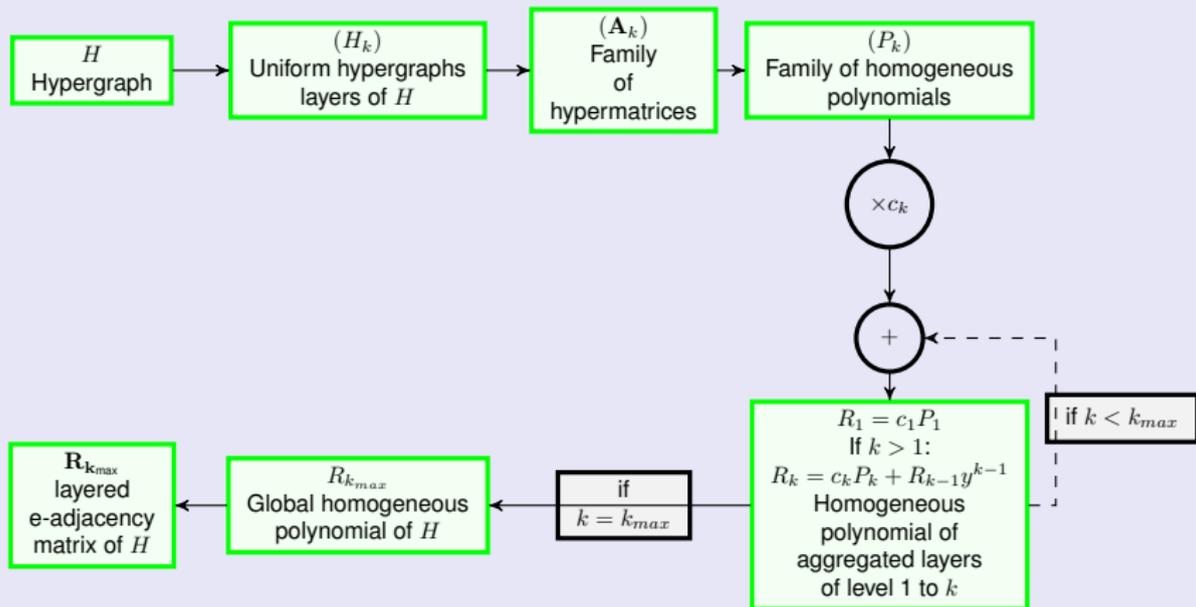


Figure 1: Different phases of the construction of the e-adjacency tensor

## Details

$$\begin{aligned} R_{k+1}(z_k) &= y^{k(k+1)} \left( R_k \left( \frac{z_{k-1}}{y^{k(k)}} \right) + c_{k+1} P_{k+1} \left( \frac{z_0}{y^{k(k+1)}} \right) \right) \\ &= R_k(z_{k-1}) y^k + c_{k+1} \sum_{i_1, \dots, i_{k+1}=1}^n a_{(k+1) i_1 \dots i_{k+1}} z^{i_1} \dots z^{i_{k+1}} \end{aligned}$$

# From homogeneous polynomial to hypermatrix

## Definition of the hypermatrix of layer of level $k$

$$R_k(\mathbf{w}_{(k)}) = \sum_{i_1, \dots, i_k=1}^{n+k-1} r_{(k) i_1 \dots i_k} w_{(k)}^{i_1} \dots w_{(k)}^{i_k} \text{ where:}$$

- for  $i \in \llbracket n \rrbracket$ :  $w_{(k)}^i = z^i$  and for  $i \in \llbracket n+1; n+k-1 \rrbracket$ :  $w_{(k)}^i = y^{i-n}$
- for all  $\forall j \in \llbracket k \rrbracket$ , for  $1 \leq i_1 < \dots < i_j \leq n$ , for all  $l \in \llbracket j+1; k \rrbracket$ <sup>1</sup>:  $i_l = n+l-1$  and, for all  $\sigma \in \mathcal{S}_k$ :

$$r_{(k) \sigma(i_1) \dots \sigma(i_k)} = \frac{c_j^{\alpha(j) i_1 \dots i_j}}{k!} = \frac{j!}{k!} c_j^{\alpha(j) i_1 \dots i_j}$$

- otherwise  $r_{(k) i_1 \dots i_k}$  is null.

<sup>1</sup>With the convention  $\llbracket p, q \rrbracket = \emptyset$  if  $p > q$

# Layered e-adjacency hypermatrix

## Choice of dilatation coefficient

We choose:  $c_j = \frac{k_{\max}}{j}$  to allow the generalized hand-shake lemma to hold.

$$|E| = \frac{1}{k_{\max}} \sum_{i_1, \dots, i_{k_{\max}} \in \llbracket n+k_{\max}-1 \rrbracket} r_{i_1 \dots i_{k_{\max}}} = \sum_{j=1}^{k_{\max}} \frac{1}{j} \sum_{i_1, \dots, i_j \in \llbracket n \rrbracket} a_{(j) i_1 \dots i_j}.$$

Hence, combining above with the fact that  $a_{(j) i_1 \dots i_j} = \frac{1}{(j-1)!}$  when  $\{v_{i_1}, \dots, v_{i_j}\} \in E$  and 0

otherwise:  $r_{i_1 \dots i_{k_{\max}}} = \frac{1}{(k_{\max}-1)!}$  for nonzero elements of  $\mathbf{R}_{k_{\max}}$ .

## Layered e-adjacency hypermatrix

$\mathbf{R}_{k_{\max}}$  is called the **layered e-adjacency tensor** of the hypergraph  $\mathcal{H}$ . We write it later  $\mathcal{A}_{\mathcal{H}}$ .

## Finding degrees

It holds:

$$\sum_{\substack{i_2, \dots, i_{k_{\max}}=1 \\ \delta_{ii_2 \dots i_{k_{\max}}}=0}}^{n+k_{\max}-1} a_{ii_2 \dots i_{k_{\max}}} = d_i$$

where:  $\forall i \in \llbracket n \rrbracket : d_i = \deg(v_i)$  and  $\forall i \in \llbracket k_{\max} - 1 \rrbracket : d_{n+i} = \deg(y_i)$ .

Moreover:  $\forall j \in \llbracket 2; k_{\max} \rrbracket$ :

$$|\{e : |e| = j\}| = d_{n+j} - d_{n+j-1}$$

and:

$$|\{e : |e| = 1\}| = d_{n+1}$$

# Spectral results

## Bound for eigenvalues

### Theorem

The  $e$ -adjacency tensor  $\mathcal{A}_{\mathcal{H}}$  has its eigenvalues  $\lambda$  such that:

$$|\lambda| \leq \max(\Delta, \Delta^*) \quad (1)$$

where  $\Delta = \max_{1 \leq i \leq n} (d_i)$  and  $\Delta^* = \max_{1 \leq i \leq k_{\max} - 1} (d_{n+i})$ .

### Theorem

Let  $\mathcal{H}$  be a  $r$ -regular<sup>2</sup>  $r$ -uniform hypergraph with no repeated hyperedge. Then this maximum is reached.

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<sup>2</sup>A hypergraph is said  $r$ -regular if all vertices have same degree  $r$ .

## Quick summary and Future Work

- Layered e-adjacency tensor is easy to build
- Can be stored in  $|E|$  elements as it is symmetric
- But inflates spectral bounds
- HUP and PUP: strong basis for further alternatives
- Target: allow repetition => **multisets are needed** => **hb-graphs introduced**

Ouvrard et al. [2018]

Thank you for your attention

Questions?

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